



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,311	06/12/2001	Kenji Okinaka	1417-352

Adjustment date: 08/24/2001 TL0011
08/20/2001 HNDOR1 00000070 09878311
02 FC:105

CONFIRMATION NO. 2128

FORMALITIES LETTER



OC000000006401796

08/20/2001 HNDOR1 00000070 09878311

02 FC:105 130.00 OP
03 FC:104 270.00 OP
04 FC:102 320.00 OP
05 FC:199 710.00 OP

Date Mailed: 08/09/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
▪ \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

Adjustment date: 08/24/2001 TL0011
08/20/2001 HNDOR1 00000070 09878311
05 FC:199 710.00 OP

A copy of this notice MUST be returned with the reply.

Void date: 08/20/2001 HNDOR1
08/20/2001 HNDOR1 00000069 09879311
04 FC:199 710.00 OP
05 FC:581 40.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Void date: 08/20/2001 HNDOR1
08/20/2001 HNDOR1 00000069 09879311
01 FC:105 -130.00 OP
02 FC:104 -270.00 OP
03 FC:102 -320.00 OP

08/20/2001 HNDOR1 00000069 09879311
01 FC:105 130.00 OP
02 FC:104 270.00 OP
03 FC:102 320.00 OP
08/20/2001 HNDOR1 00000069 09879311
04 FC:199 710.00 OP
08/24/2001 TL0011 00000007 09878311
01 FC:101 710.00 OP
02 FC:105 130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

OKINAKA et al.

Serial No. 09/878,311

Filed: June 12, 2001

Attention: Application Branch

Atty. Dkt. 1417-352

Date: August 17, 2001

For: SPINDLE-SHAPED GOETHITE PARTICLES, SPINDLE-SHAPED HEMATITE PARTICLES, SPINDLE-SHAPED MAGNETIC METAL PARTICLES CONTAINING IRON AS MAIN COMPONENT AND PROCESSES FOR PRODUCING THE SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
☒ Record the attached assignment and return to the undersigned.
☐ Attached is a Power of Attorney.
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number
2000-177377

Country
JAPAN

Day/Month/Year Filed
13 June 2000

respectively.

- ☒ Certified copy(ies) of foreign application(s) is/are attached.
☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☐ Other:

Fees due are calculated below:

Basic filing fee					\$	710.00
Total Effective claims	18	- 20 =	0	x \$	18.00	\$ 0.00
Independent claims	7	- 3 =	4	x \$	80.00	\$ 320.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)					\$	270.00
					FILING FEE	\$ 1,300.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)					\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted					\$	130.00
					FIRST SUBTOTAL	\$ 1,430.00
If "small entity," enter half (1/2) of subtotal and subtract					-\$	0.00
					SECOND SUBTOTAL	\$ 1,430.00
Assignment Recording Fee (\$40.00)					\$	40.00
					TOTAL FEE DUE	\$ 1,470.00
					Check enclosed (Pre-Bill)*	\$ 1,470.00
					Check enclosed (non Pre-Bill)*	\$
					TOTAL FEE ENCLOSED	\$ 1470.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: _____

*For N&V internal accounting purposes